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Growth and Attachment-Facilitated Entry of Bacteria into Sub-Micron Pores Can Enhance Bioremediation and Oil Recovery in Low Permeability and Microporous Media

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1 Abstract

2 Bioremediation endpoints for biodegradable hydrophobic compounds in soil aggregates are 3 regulated by bacterial accessibility to different pore sizes. We evaluated the accessibility of the 4 nonmotile hydrocarbon-degrading bacteria, *Dietzia maris* (d=1 µm at stationary phase), to 0.4 um pores. A significant fraction (22%) of the pore volume of the clavey soil from which the 5 6 bacteria was isolated, were associated with pores of 0.4-1 µm diameter. Bacterial entry into the pores was observed by electron microscopy and by monitoring mineralization of ¹⁴C-hexadecane 7 8 placed well above membranes with fixed pore sizes (0.4 or 3 μ m), in a bioreactor. The 9 membranes were used as a surrogate for soil pores of fixed diameters. When membranes were 10 not wetted, or wetted with non-biodegradable heptamethylnonane, bacteria did not penetrate 11 pores even if they attached to the membrane. However, bacteria penetrated pores when 12 membranes were wetted with hexadecane, as growth on hexadecane yielded a crowd of smaller 13 rod-shaped cells ($d_{min} = 0.54 \pm 0.14 \mu m$) in biofilms formed on the membrane. A morphological 14 progression with time from smaller, elongated cells at early growth phase to cocci-shaped cells 15 was observed. The results suggest proliferation accompanied with morphological changes as a 16 mechanism of bacterial migration in sub-micron pores and low permeability media.

17 Introduction

In petroleum-contaminated soils, a significant fraction of hydrocarbons enter pores smaller than 1 μ m over time.¹ It has been suggested that limited accessibility of bacteria to hydrocarbons entrapped in pores smaller than, or of similar size to bacteria may control the magnitude of the residual hydrocarbon fraction after extended bioremediation.^{2, 3} The lack of bioaccessibility may also influence the efficiency of processes such as microbial enhanced oil recovery and *in-situ* bio-cracking of high molecular weight hydrocarbons in deep oil and gas reservoirs.^{4, 5}

24 Only a few studies have addressed the question of what is the minimum pore diameter bacteria can access.^{6, 7} Recently, chemotactic swimming of planktonic, motile cells of *Escherichia coli* 25 26 (d=0.76 µm) and *Bacillus subtilis* (d=0.86 µm) through channels as small as 1.1 µm was reported by Mannik et al. where the chemoattractant (Luria-Bertani media) and bacteria were introduced 27 from opposite ends of the pore.⁸ Furthermore, it was observed that if a Gram-negative *E. coli* cell 28 29 was lodged in the mouth of a pore as small as 0.4 µm, it produced daughter cells in the pore 30 which colonized along the length of the pore. The cells in the pore were deformed and 31 nonmotile, but after emerging from the pore by colonizing along the pore reproduced healthy, 32 motile cells. The authors related such changes in cell physiology to the flexible and thin cell wall of Gram-negative bacteria.⁸ 33

There are no reported studies demonstrating the entry of hydrocarbon degrading bacteria into sub-micron or sterically restrictive pore sizes. In this study, we evaluated the passage of *Dietzia maris*, a Gram-positive, nonmotile, hydrocarbon-degrading bacterial strain⁹ through 0.4 μ m pores, a size much smaller than the diameter of *D. maris* in stationary phase in growth cultures. Experiments were conducted in specially designed bioreactors with membranes separating the bacterial suspension in the lower chamber from an aqueous phase in the upper chamber 40 containing a layer of ¹⁴C-labelled hexadecane placed well above the membrane. Compounds 41 such as hexadecane (aqueous solubility ~ 4 ppb, melting point =18.2 °C)¹⁰ and those with higher 42 molecular weights are expected to reside almost indefinitely in soil pores , unless bacteria come 43 in direct contact with the hydrocarbon liquid phase. Hexadecane is easily degradable by *D*. 44 *maris,* which attach to the hexadecane-water interface for direct uptake and to overcome limited 45 bioavailability of such poorly soluble hydrocarbons.

Different mechanisms for surface translocation of motile bacteria such as swimming, swarming, twitching and sliding have been reported. Sliding is defined as passive transport of motile bacteria on a substratum as a result of natural expansive forces during colony formation and growth in single layers, and changes in cellular microenvironments such as due to surfactant production which can decrease cell-substrate friction forces.^{11, 12} However, Mannik *et al.* observed that during passage by proliferation along sub-micron pores, motility did not play a critical role.⁸

53 We hypothesized that even nonmotile D. maris cells could enter submicron pores ($\geq 0.4 \mu m$) in 54 the membrane if they could attach, grow and crowd on an oil-water interface at or near the pore 55 mouth. Forces induced from colony expansion and biosurfactant production by D. maris likely 56 also facilitate pore entry. To assess the hypothesis, we conducted parallel experiments with (i) 57 hydrocarbon-free membranes on which there was no growth or attachment, and (ii) membranes 58 wetted with non-biodegradable heptamethylnonane where there was no growth but there was 59 attachment, and (iii) membranes wetted with hexadecane where there was both growth and 60 attachment.

61 Materials and Methods

Bacterial strain. The bacterial strain used in experiments was isolated from a weathered petroleum-contaminated soil and identified as (*Dietzia maris*; CA160; GQ870425 (99%)). *D. maris* cultured in Bushnell-Hass (BH) mineral media amended with 1.0% (v/v) hexadecane and incubated at 25 °C for four days, was used as inocula for the bioreactors. *D. maris* cells were nonmotile, and negatively charged in the media (-33.83 mV).³ Surface tension in *D. maris* cultures measured by a du Nouy ring tensiometer (CSC Scientific) decreased from 69.4±0.1 to 34.6±0.4 dynes/cm in 10 days, indicating biosurfactant production activity by bacteria.

69 **Mineralization experiments.** Mineralization experiments and environmental scanning electron microscopy (ESEM) were conducted as described elsewhere.³ Briefly, the bioreactors (Fig. 1). 70 71 consisted of a lower and an upper chamber separated by a nuclepore track-etched membrane (GE 72 Healthcare Bio-Sciences) with pore sizes of 0.4, 3 or 5 µm. Bacterial cells were washed two times and inoculated in the lower chamber. Then, 20 µL of ¹⁴C-hexadecane was added on top of 73 74 the aqueous phase in the upper chamber. A side arm containing NaOH solution was attached to the upper chamber to collect ¹⁴CO₂ produced from mineralization of hexadecane. The 75 radioactivity of samples from NaOH trap were periodically measured using a scintillation 76 77 counter (LS3600, Beckman Coulter).

Membranes were made of polycarbonate, coated with polyvinylpyrrolidone (PVP) and were easily wetted in water. The membrane was slightly negative (-4.82 mV) in the media and the airwater contact angle was 50.1° .³ The membrane thickness was approximately 9 μ m.

81 For experiments with hexadecane or 2,2,4,4,6,8,8-heptamethylnonane (HMN)-wetted 82 membranes, membranes were first pre-treated by rinsing with methanol to remove the water-83 wetting agent (PVP), and then 0.1 μ L of non-labeled hexadecane or HMN was added to the

center of the membrane surface. As membranes were wetted with non-labeled hexadecane only, mineralization of the non-labeled hexadecane on the membrane generated ¹²CO₂ and therefore the measured ¹⁴CO₂ in basic traps were only attributable to ¹⁴C-hexadecane on top of the aqueous layer in top chamber. ¹⁴C mass balance performed at the end of experiments by accounting for ¹⁴CO₂ generated and residual activity in the non-aqueous phase liquid and aqueous phases, yielded more than 90% recovery in all systems. A control system with hexadecane-wetted membrane but not inoculated with *D. maris* showed no mineralization.

91 Results and Discussion

92 Statistically significant (p<0.05) and comparable mineralization (12% after 40 days) was 93 observed in the systems with 0.4 µm or 3 µm membranes wetted with non-labeled hexadecane 94 (Fig. 2). The observed mineralization activity indicates that *D. maris* cells were able to migrate 95 through the membranes of either pore size, reach to oil layer on top of the aqueous phase in upper chamber by diffusion and then uptake ¹⁴C-hexadecane. It appears that there was no delay 96 97 in the passage of cells through the smaller, sterically restrictive pore size of 0.4 µm compared to 98 the 3 µm pore. Biofilm formation was observed at the hexadecane-water interface in the upper 99 chamber and on both sides of hexadecane-wetted membrane.

However, biodegradation was not observed in the systems with 0.4 μ m HMN-wetted membranes. Microbial Adhesion to Hydrocarbons (MATH) tests¹³ indicated comparable extents of cell adhesion on HMN and hexadecane (53±3% and 65±2%, respectively). This suggests that growth during attachment, rather than attachment on the hexadecane-wetted membranes alone, enabled bacteria to penetrate into and pass through submicron pores and eventually reach the radiolabeled oil-water interface.

106 Contrary to our results with hexadecane-wetted 0.4 and 3 µm membranes, our previous study 107 showed that systems with non-wetted membranes generated mineralization activity only when 108 pore diameters were 5 µm or larger. We demonstrated that this was due to aggregation of D. *maris* cells under nutritional stress in these bioreactors $(d_{aggregate}=4.8 \pm 1.12)$.³ However, the 109 initial hexadecane mineralization rate (rate constant = 0.0034 ± 0.0002 day⁻¹ (R²=0.75) calculated 110 111 for days 7-41) was similar in all systems where mineralization occurred. A hexadecane 112 mineralization extent of 54±4% over 220 days was obtained in the 5 µm system (Fig. S1). The 113 relatively low mineralization rate could be attributed to limited mixing and oil-water interfacial 114 areas in the bioreactors.

115 ESEM of hexadecane-free membranes, and hexadecane-wetted membranes removed from the 116 bioreactors are shown in Figure 3A to 3D. At day 1 none of the bacterial cells appeared on the 117 permeate (top) side (note: bacteria were inoculated on feed-side) of hexadecane-wetted 118 membranes (Fig. 3A). However, on day 7, a few *D. maris* cells appeared (Fig. 3B), and by day 119 10 (Fig. 3C, D) significant numbers of cells were observed on the permeate side, likely due to the 120 growth of *D. maris* cells after entry through the pores. The average of the smaller diameter (d_{min}) 121 of rod-like cells which were observed on the permeate side of the membrane at day 7 were 122 0.54±0.14 µm (n=20 cells from four images). After 10 days, the bacteria observed on permeate 123 side of membrane were more spheroidal. Consistent with the lack of mineralization activity, no 124 bacteria were observed on permeate side of HMN-wetted (hexadecane-free) membranes over 10 125 days (Fig. 3E) even though cells were attached on the feed side of the membrane (Fig. 3F).

Bacterial morphology and size in the parent culture maintained in culture flasks containing hexadecane and BH under well-mixed conditions showed a change from rod-shaped cells during the initial exponential growth phase (aspect ratio, $d_{min}/d_{max}=0.60\pm0.14$, n=167), to coccoid cells

129 $(d_{min}/d_{max}=0.86\pm0.10, n=153)$ in the stationary phase (Fig. 4). Similar observations of 130 morphological changes during growth have been previously reported for *Rhodococcus equi*¹⁴ and 131 *E. coli*¹⁵. It has been suggested that bacteria may alter its shape in response to changes in 132 nutrient levels in its environment and/or to regulate its attachment on a nutritional surface.¹⁶ The 133 d_{min} of *D. maris* cells was 0.71±0.07 (0. 50-0.93) µm during the early growth phase (day 1) in 134 culture flasks and increased by 40% by the stationary phase.

Generally, bacterial movement and in particular their accessibility to nutrients and substrates in 135 soils is related to their motility and chemotaxis.¹⁷ However, our results show that nonmotile cells 136 137 may access substrates in submicron pores through proliferation and penetration, accompanied by 138 morphological changes associated with growth to be accommodated in narrow pores. The 139 penetration mechanism in our study involved initial bacterial attachment and growth on 140 hexadecane. Forces imposed by further growth, crowding and colony extension and reductions in 141 surface tension as a result of biosurfactant production during growth likely contributed to 142 bacterial translocation and passage through the pores. The Gram-positive D. maris entered 0.4 143 µm pores during growth, likely because of the cell shape and size during the early growth phase. 144 This is different from the observation by Mannik et al. that Gram-negative E. coli bacteria migrated through 0.4 µm pores had aberrant cell shapes.⁸ 145

In the context of bioremediation of hydrocarbon-contaminated soils, our results suggest if growth substrates are available at or near the pore mouth, which is more likely in early stages after oil spill or for high saturation of oil in soil aggregates, a wider range of pore sizes will be accessible to hydrocarbon degrading bacteria. For example, considering 0.4 μ m as the limit for bacterial accessibility, 72% of the pore volume would be bioaccessible in the hydrocarbon-contaminated sub-Arctic soils from which *D. maris* was isolated, compared to 50% pore volume accessibility assuming 1 µm as the accessibility cut off. These values were computed from mercury intrusion
porosimetry data for aggregates of the field soils (Fig. S2).

In enhanced oil and shale gas recovery, targeted enhancement of growth of bacteria such as *D. maris* could enhance bioaccessibility of residual hydrocarbon compounds entrapped in small pores of oil reservoirs. This could enable biocracking of hydrocarbons and consequently enhance hydrocarbon recovery due to viscosity changes or hydrocarbon gas formation.^{4, 5} This may lead to a more sustainable approach for enhanced hydrocarbon recovery than subsurface injection of surfactants to mobilize trapped oils.

Our results could also explain biofilm formation and fouling on the permeate side of membranes in water or wastewater treatment plants.¹⁸ The implications of bacterial entry into sub-micron pores due to alterations of size, shape and growth activity in medicine include bacterial growth and infection in sub-micron gaps between imbuement and dental implants,¹⁹ or bacterial penetration through restrictive blood vessel pores $(0.3-4.7 \ \mu m)^{20}$ and deep into tissues to deliver therapeutic cytotoxic proteins to tumors or to directly kill cancer cells.^{21, 22}

166 Supporting Information

167 Results of long term mineralization in bioreactors, and pore volume distribution in two 168 representative clayey and sandy soils. This material is available free of charge via the Internet at

169 <u>http://pubs.acs.org</u>.

170 The authors declare no competing financial interests.

171 Acknowledgments

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Figure 1.





Figure 2. Hexadecane wetting of membranes increased bacterial entry into pores. Cumulative 248 percent of ¹⁴CO₂ generation over time from mineralization of ¹⁴C-hexadecane in bioreactors with 249 hydrocarbon-free, and hexadecane- and HMN-wetted membranes with pore sizes of 0.4 and 3 250 251 μm. No mineralization was observed in non-inoculated controls. The data are average of at least 252 two samples from at least two bioreactors (n=4). Data from systems with hexadecane and HMN wetted membranes are new results, and data from hydrocarbon-free membranes are represented 253 from our previous study³ for comparison. Mineralization data from bioreactors without 254 255 membrane or with hydrocarbon-free membranes with 5, 8 and 12 µm pore diameters are grouped 256 as a single data series as the trends were very similar.

258 **Figure 3**.

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260

- **Figure 3.** ESEM images of nuclepore track-etched polycarbonate membranes with pore size 0.4
- μ m reveals bacteria only pass through narrow pores of membranes wetted with hexadecane. Permeate side of hexadecane wetted membranes with 0.4 µm pores at day 1 (A), day 7 (B), day
- Permeate side of hexadecane wetted membranes with 0.4 μ m pores at day 1 (A), day 7 (B), day 10 (C, D) and HMN-wetted membrane permeate side at day 10 (E) and feed side at day 1 (F).
- 264 10 (C, D) and mini-wetted memorale permeate side at 265 Yellow scale bars in figures represent 1 μ m.

267 Figure 4.



Figure 4. ESEM of *Dietiza maris* cells illustrating bacterial cell size changes at different growth

phases in culture media; log phase, day 1 (A), log phase, day 3 (B), and stationary phase, day 10 (C,D). The d_{min} values are average of smaller cell dimensions of 167 cells measured from 11

ESEM images (A), 89 cells from 4 images (B), and 157 cells from 7 images (C). At least two

culture flasks samples were used for each sampling day. Yellow scale bars in figures represent 1 μm .

277 **TOC Artwork**

